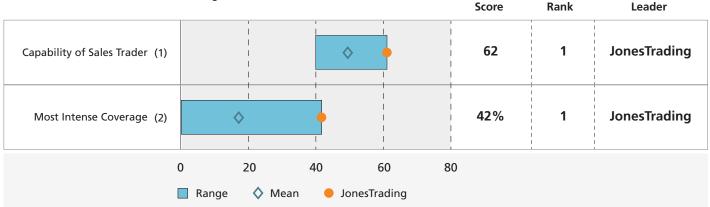
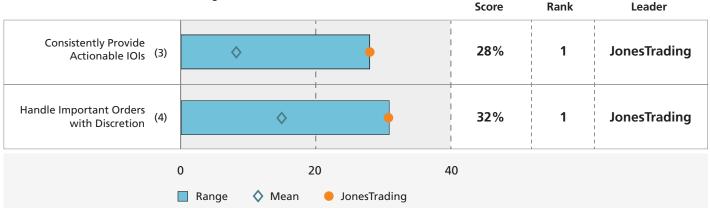
## JonesTrading - U.S. Equity Sales Trading Performance

U.S. Institutions – U.S. Traders – Market Segment 254



## JonesTrading - U.S. Equity Execution Performance

U.S. Institutions – U.S. Traders – Market Segment 254



## JonesTrading - Relative U.S. Equity Trading Coverage

U.S. Institutions - U.S. Traders - Market Segment 254



## GREENWICH DATA | ANALYTICS | INSIGHTS

- (1) Greenwich Associates surveyed 254 accounts and 152 accounts responded on JonesTrading to the question: "Rate the Capability of Sales Trader on scalar metric from Excellent 100 points down to Poor 0 points". Shown as average point score per client and with 62 points for JonesTrading, that ranked JonesTrading #1 broker relative to 25 brokers rated as part of this survey.
- (2) Greenwich Associates surveyed 254 accounts and 74 accounts that cited an important executing broker relationship with JonesTrading responded to the question: "Which 4 or 5 firms' sales traders provide intensive service (i.e., frequent calls, prompt follow-up)? Shown as percent of clients citing distinction and with 42% for JonesTrading, that ranked JonesTrading #1 broker relative to 25 brokers rated as part of this survey.
- (3) Greenwich Associates surveyed 254 accounts and 74 accounts that cited an important executing broker relationship with JonesTrading responded to the question: "Which 4 or 5 firms consistently provide the most actionable "IOIs" (i.e., indications of interest)"? Shown as percent of clients citing distinction and with 28% for JonesTrading, that ranked JonesTrading #1 broker relative to 25 brokers rated as part of this survey.
- (4) Greenwich Associates surveyed 254 accounts and 74 accounts that cited an important executing broker relationship with JonesTrading responded to the question: "Which 4 or 5 firms handle important orders with discretion? Shown as percent of clients citing distinction and with 32% for JonesTrading, that ranked JonesTrading #1 broker relative to 25 brokers rated as part of this survey.
- (5) Greenwich Associates surveyed 254 accounts and 111 accounts responded to the question overall: "Which 4 or 5 firms are doing the best job trading Small/Mid-Cap Equities (e.g., Russell 2000, S&P Mid-Cap 400)?". Shown as percent of clients citing distinction and with 23% for JonesTrading, that ranked JonesTrading #1 broker relative to all other brokers rated as part of this survey.